

10/564085

**IAP20 Rec'd PCT/PCTO 11 JAN 2006
PATENT**

Docket No.: 061352-0119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277
Tohru SAITO, et al. : Confirmation Number: Not yet assigned
assigned : Group Art Unit: Not yet assigned
Application No.: Not yet assigned : Examiner: Not yet assigned
Filed: January 11, 2006 :

For: HETEROJUNCTION BIPOLAR TRANSISTOR AND METHOD FOR
MANUFACTURING SAME

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents and Trademarks
Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is

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believed that Applicants have met all requirements regarding duty of disclosure under 37

CFR 1.56. Acknowledgement and consideration of these documents are respectfully requested.

However, to ensure that these references are available to the Examiner, we are providing copies of these references herewith. Since the Search Report was from the JPO search authority, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above identified application and readily available to the Examiner.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

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SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 061352-0119	SERIAL NO. Not yet assigned 10/564085		
				APPLICANT Tohru SAITO, et al.			
				FILING DATE January 11, 2006	GROUP 2811 Not yet assigned		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code ₂ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
/M.L./		US 5,861,640	01-19-1999	Gomi	Corresponds to JP 9-199511 A		
↓		US 5,620,907	04-15-1997	Jalali-Farahani et al.	Corresponds to JP 9-181091 A		
		US 6,177,717 B1	01-23-2001	Chantre et al.	Corresponds to JP 2000-31155 A		
		US 6,551,891 B1	04-22-2003	Chantre et al.	Corresponds to JP 2001-244275 A		
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FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number & -Kind Codes (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation Yes	No
/M.L./		JP 9-199511 A	07-31-1997	SONY CORP	Corresponds to US 5,861,640	JAPAN (w/English Abstract)	
↓		JP 2001-319935 A	11-16-2001	MITSUBISHI MATERIALS SILICON CORP		JAPAN (w/English Abstract)	
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↓		JP 2001-244275 A	09-07-2001	ST MICROELECTRONICS SA	Corresponds to US 6,551,891 B1	JAPAN (w/English Abstract)	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
EXAMINER Meiya Li/				DATE CONSIDERED 07/10/2009			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.